**ETF Bios - Wolcott’s edits**

**Brian C. Pfeifler**

Morgan Stanley

A Managing Director at Morgan Stanley, Brian is responsible for the senior relationship coverage of high-net-worth individuals, families, public and private foundations, pension plans and other institutions. Currently, he oversees approximately $8 billion of client assets in Private Wealth Management. In 2008 and 2010, *Barron’s* ranked him #1 in itsnational listing of America’s Top 100 Financial Advisors, and every year since 2005, *Barron’s* has ranked Brian among the top five financial advisors nationally. In 2009 and 2014, *Barron’s* named him the Top Advisor in New York. Brian was interviewed and featured in *Barron’s* November 9, 2009 issue. He holds a B.A. in Political Science/ American Studies from Amherst College.

**Courtney Moore**

Merrill Lynch

As a Senior Vice President – Investment and Global Institutional Consultant at Merrill Lynch, Courtney has extensive experience in investment consulting, asset allocation modeling, hedging and diversifying concentrated stock strategies, and liability management. A Certified Financial Planner**®**, she has broad experience in working with clients’ attorneys, accountants and family office personnel in non-investment matters such as wealth transfer strategies and philanthropic planning. Courtney has been recognized by *Barron’s* as one of the Top 100 Women Financial Advisors and one of the Top 1,000 Financial Advisors in the country. After graduating from the College of the Holy Cross, she earned her Certified Investment Management Analyst (CIMA) designation from Wharton and received her CFP® designation from the NYU Stern School of Business.

**Richard M. Potocki**

Van Eck Global

A Managing Director, Peter is the Head of U.S. Distribution for Van Eck's advisory and institutional channels across all product lines. Prior to joining Van Eck in 2012, he served as Director, National Sales Manager and Head of National Accounts at Russell ETF. He was also a member of Russell's ETF Operating Committee and Distribution Leadership Team. At J. & W. Seligman, he was Managing Director and Partner and held the positions of National Sales Manager, Head of National Accounts, Head of Marketing, and member of the Board of Directors of Global Horizon and Spectrum Funds. While leading offshore and marketing efforts, he opened offices in London, Buenos Aires and Hong Kong. He received his B.A. from Duke University.

**Sam Katzman**

Constellation Wealth AdvisorsSam has served as the Chief Investment Officer for Constellation Wealth Advisors since the firm’s inception in 2007.  As the chair of the firm’s Investment Committee, he provides direction and oversight over $5 billion assets under management. He is often quoted in national financial publications such as *Barron’s* and *The Wall Street Journal*.  Since 1984, Sam has held positions at Arthur Andersen, the Citi Family Office, Chase Manhattan Bank, Salomon Brothers, and Citi Smith Barney. He graduated from Emory University with a B.A. in Accounting and earned his M.B.A. with a concentration in Finance at Wharton. A Certified Public Accountant, Sam is a member of the CFA Institute, the New York Society of Security Analysts, and the American Institute of Certified Public Accountants.

**Ron Vinder**

UBS

A Managing Director at UBS, Ron is a member of the Chairman's Council. His passion for investing began when he purchased his first stock at 13. Dedicated to improving his clients' experience and level of service., Ron has grown his practice solely through referrals and word-of-mouth. Recognized as a top Wall Street financial advisor, he was cited as one of Barron's Top 100 Financial Advisors by State from 2009 to 2013. In 2014, he was named one of Barron's Top 1200 Financial Advisors and ranked 14th of the top 120 advisors in New York. After graduating with a B.S. in Finance from the University of Maryland, Ron began his career at Lehman Brothers and solidified his career at leading Wall Street firms.

**Bobby C. Brooks, CIMA®**

Invesco PowerShares Capital Management LLC

Bobby C. Brooks is the national sales director for Invesco PowerShares Capital Management LLC, a registered investment advisor that sponsors the PowerShares family of exchange-traded funds (ETFs). With his 19 years of industry experience, he is a frequent speaker at major ETF and industry conferences, such as the Money Management Institute and Investment Management Consultants Association. Previously Bobby was principal and national sales manager for State Street Global Advisors and senior vice president, director of sales at Van Kampen Investments. He earned a Business Degree from Gordon College and holds the Series 7, 24 and 63 registrations. He earned the Certified Investment Management Analyst (CIMA®) designation from the Wharton School of Business and the Investment Management Consultants Association.

**Marten S. Hoekstra**

Emerging Global Advisors

Marten Hoekstra is the CEO of Emerging Global Advisors. Prior to joining EGA in 2011, he was CEO of UBS Wealth Management Americas and a member of the Group Executive Board. Marten worked 26 years at UBS, including a predecessor firm, PaineWebber. After 2001, he held various management roles in Zurich and the United States on the executive committee of the firm’s Global Wealth Management & Business Banking unit, including that of Deputy CEO. He was also Head of Market Strategy and Development for UBS’ wealth management businesses outside North America, joining UBS’ Group Managing Board in July 2002. Marten is a graduate of the University of North Dakota and Northwestern University’s Kellogg School of Management.

**Robert J. Waldele**

Merrill Lynch

As Managing Director – Investments, Bob is the senior partner and founder of The Waldele Group, a seven-person team at Merrill Lynch that oversees approximately $1.8 billion in assets for individuals, families and foundations that control significant pools of wealth. His responsibilities on the team include overseeing clients’ overall investment strategy and directing the team’s strategic vision. Bob has been with Merrill Lynch since 1979. He has been recognized among the top 100 financial advisors in the country by *Barron’s* since 2011, the top 1,000 Advisors by *Barron’s* since 2009, and the top Wirehouse Advisors by *Registered Rep*. magazine since 2008. He graduated from Lafayette College with a degree in history in 1979.

**Brandon Rakszawski**

Van Eck

*Product Manager, Market Vectors ETFs*

Brandon serves as product manager for Market Vectors® exchange-traded funds, focusing on hard assets, commodity and equity-income products. His current responsibilities include product development, market research, competitive analysis, advertising and public relations. Prior to joining Van Eck in 2011, he was with Federated Investors, specializing in mutual fund product marketing and positioning. He is a frequent speaker and moderator at industry webinars. He earned a B.S. in Finance and Marketing at Slippery Rock University of Pennsylvania.

**Kurt Nelson**

SummerHaven Investment Management

As Partner and Head of Investor Relations, Kurt Nelson manages investor relations and product structuring. He was a managing director for UBS until July 2009, where he led the successful effort to acquire and integrate AIG’s commodity index business. Kurt was a supervisory committee member for both the Dow-Jones UBS Commodity Index and the UBS Bloomberg CMCI Index. Prior to joining UBS in 2007, Kurt worked for 10 years at AIG Financial Products, and his responsibilities included provided commodity index investment solutions for institutional investors, U.S. corporations, principal dealers and private banking clients. Kurt earned his B.S. in Mathematics from The College of William & Mary.

**David Lavalle**

NASDAQ OMX

Dave serves as a Vice President of Transaction Services at NASDAQ OMX.  As head of NASDAQ OMX’s Exchange Traded Product business, he is responsible for listings and market maker platforms.  Dave joined NASDAQ OMX from Kellogg Group LLC, where he was a Managing Partner of Kellogg Capital Markets, the firm’s trading and market making division.  He has held roles at the NYSE, NYSE Amex and former American Stock Exchange.  Dave earned a B.A. from Georgetown University.

**Andrew McOrmond**WallachBeth Capital LLC

A Managing Director, Andy is a partner and co-manager of one of the Industry's most respected ETF and portfolio trading groups. WallachBeth employs a holistic process in executing sophisticated trading strategies and leverages a combination of deep product knowledge and extensive market insight. His unique understanding of ETFs and the nuances of trading them began when he joined the American Stock Exchange in 1999. In 2002, Andy was elected a principal and Managing Director of Amex member Progressive Securities, where he was instrumental in expanding that firm's presence serving institutional clients active within the ETF space. He appears on CNBC and has been profiled in leading financial publications including *The Wall Street Journal, The Financial Times, Traders Magazine, ETF.com* and *Seeking Alpha.*

**Edward Rosenberg**FlexShares

The Head of ETF Capital Markets & Analytics, Edward is responsible for building relationships with market makers, Authorized Participants, and exchanges.

Prior to joining FlexShares, Edward spent two years at Russell Investments, where he was the Head of ETF Capital Markets & Analytics. Prior to Russell, Edward worked for 15 years at Vanguard in various roles, including spending the last five years as an ETF product manager. He had responsibility for launching Vanguard's U.S.-listed ETFs, building relationships with index providers, market makers and exchanges, and working on the development and launch of U.S.-listed ETFs. Edward is a graduate of Muhlenberg College, where he earned a B.A. in Business Administration. He obtained his M.B.A. from Pennsylvania State University.

**Jim Rowley**

Vanguard Investment Strategy Group

Jim Rowley, CFA, is a senior investment analyst in Vanguard Investment Strategy Group, where he analyzes trends and developments in the ETF market and provides research and commentary on related issues. Previously, he led ETF analytics and data teams. Before joining Vanguard, Jim worked at Gartmore Global Investments, Lehman Brothers, and Merrill Lynch. He earned a BS from Villanova University and an MBA from New York University. He is a CFA® charterholder and a past president of the CFA Society of Philadelphia.

**Tom Lydon**

ETF Trends

Tom Lydon is editor and publisher of ETF Trends, a website with daily news and commentary about the fast-changing trends in the ETF industry. He is also CEO of Global Trends Investments, an investment advisory firm specializing in creating customized portfolios for high-net worth individuals. For more than 25 years, he has been involved in money management. He serves on the Board of Directors for U.S. Global Investors, Inc., and is an independent Director on the Guggenheim Investments Funds Board. Tom helped create the CNBC Model ETF Portfolios.  The author of *The ETF Trend Following Playbook* and *iMoney: Profitable Exchange-Traded Fund Strategies for Every Investor*, Tom is co-founder of Virtual Summits, educational virtual events for financial advisors.

**Michael Arone , CFA**

State Street Global Advisors U.S. Intermediary Business Group

Michael is a Managing Director and Chief Investment Strategist for the State Street Global Advisors U.S. Intermediary Business Group, responsible for expanding the group’s footprint and thought leadership efforts through frequent contributions to the financial news media, speaking engagements and client interactions. He is a member of the firm's Senior Leadership Team. Michael is a highly regarded speaker at industry conferences and is the author of several articles related to investment management practices. He holds a B.S. in Finance from Bentley College and has earned the Chartered Financial Analyst designation. He is a member of the Boston Security Analysts Society and CFA Institute.